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|   |                          |                   |
|---|--------------------------|-------------------|
| <b>DECLARATION FOR UTILITY OR<br/>DESIGN<br/>PATENT APPLICATION<br/>(37 CFR 1.63)</b><br><br><input checked="" type="checkbox"/> Declaration submitted with Initial Filing <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge 37 CFR 1.16 (e) required) | Attorney Docket Number   | PC9576A           |
|   | First Named Inventor     | Brian Scott Bronk |
|   | <b>COMPLETE IF KNOWN</b> |                   |
|   | Application Number       | Not yet assigned  |
|   | Filing Date              | Filed herewith    |
|   | Group Art Unit           | Not yet assigned  |
|   | Examiner Name            | Not yet assigned  |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

4"-SUBSTITUTED-9-DEOXO-9A-AZA-9A-HOMOERYTHROMYCIN A DERIVATIVE

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 05/29/1998 as United States Application Number or PCT International

Application Number PCT/IB98/00839 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
| PCT/IB98/00839                      | PCT     | 05/29/1998                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B sheet attached hereto. |
|-----------------------|--------------------------|--|
| 60/049,348            | 06/11/1997               |  |

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**DECLARATION --- Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 U.S.C. 1.56, which became available between the filing date of the prior application and the national or PCT International filing date of this application.

| U.S. Parent Application Number or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|---|---------------------------------|--------------------------------------|
| PCT/IB98/00839                                      | 05/29/1998                      |                                      |
|   |                                 |                                      |

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  
or

Place Customer  
Number Bar Code  
Label here

☒ Registered practitioner(s) name/registration number listed below

| Name                 | Registration Number | Name                | Registration Number |
|----------------------|---------------------|---------------------|---------------------|
| Peter C. Richardson  | 27,526              | Mark Dryer          | 28,775              |
| Allen J. Spiegel     | 25,749              | Lawrence C. Akers   | 28,587              |
| Paul H. Ginsburg     | 28,718              | A. Dean Olson       | 31,185              |
| J. Trevor Lumb       | 28,567              | Mervin E. Brokke    | 32,723              |
| James T. Jones       | 30,561              | Valerie M. Fedowich | 33,688              |
| Gregg C. Benson      | 30,977              | Bryan C. Zielinski  | 34,462              |
| Robert F. Sheyka     | 31,304              | Robert T. Ronau     | 36,257              |
| Grover F. Fuller Jr. | 31,760              | B. Timothy Creagan  | 39,156              |
| Karen DeBenedictis   | 32,977              | Alan L. Koller      | 37,371              |
| Lorraine B. Ling     | 35,251              | Jolene W. Appleman  | 35,428              |
| Garth Butterfield    | 36,997              | Kristina L. Konstas | 37,864              |
| Carl J. Goddard      | 39,203              | Seth H. Jacobs      | 32,140              |
| Raymond M. Speer     | 26,810              | Martha A. Gammill   | 31,820              |
| Jennifer A. Kispert  | 40,049              | Gregory P. Raymer   | 36,647              |
| Jacob M. Levine      | 32,509              | E. Victor Donahue   | 35,492              |
| Israel Nissenbaum    | 27,582              | Roy F. Waldron      | 42,208              |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

|         |                                  |           |               |          |               |
|---------|----------------------------------|-----------|---------------|----------|---------------|
| Name    | Paul H. Ginsburg                 |           |               |          |               |
| Address | Pfizer Inc                       |           |               |          |               |
| Address | 235 East 42nd Street, 20th Floor |           |               |          |               |
| City    | New York                         | State     | New York      | Zip Code | 10017-5755    |
| Country | United States Of America         | Telephone | (212)573-2369 | Fax      | (212)573-1939 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

|  |                          |                        |    |         |          |
|--|--------------------------|------------------------|----|---------|----------|
| Given Name (first and middle (if any)) |                          | Family Name or Surname |    |         |          |
| Brian Scott                            |                          | BRONK                  |    |         |          |
| Inventor's Signature                   |                          |                        |    | Date    | 10/12/99 |
| Residence: City                        | Gales Ferry              | State                  | CT | Country | US       |
| Post Office Address                    | 66 Partridge Hollow Road |                        |    |         |          |
| Post Office Address                    |                          |                        |    |         |          |
| City                                   | Gales Ferry              | State                  | CT | Zip     | 06355    |
|  |                          |                        |    | Country | US       |

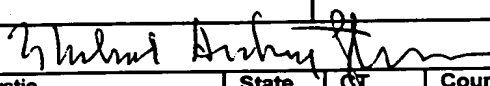
☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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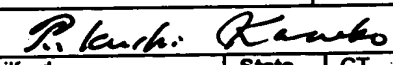
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## DECLARATION

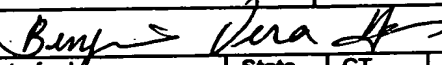
ADDITIONAL INVENTOR(S)  
Supplemental Sheet

|  |   |   |    |                        |       |             |      |          |  |
|--|---|---|----|------------------------|-------|-------------|------|----------|--|
| Name of Additional Joint Inventor, if any: |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |    |                        |       |             |      |          |  |
| Given Name (first and middle [if any])     |   |   |    | Family Name or Surname |       |             |      |          |  |
| Michael Anthony                            |   |   |    | LETAVIC                |       |             |      |          |  |
| Inventor's Signature                       |  |   |    |                        |       |             | Date | 10/13/99 |  |
| Residence: City                            | Mystic  | State   | CT | Country                | US    | Citizenship | US   |          |  |
| Post Office Address                        | 334 High Street   |   |    |                        |       |             |      |          |  |
| Post Office Address                        |   |   |    |                        |       |             |      |          |  |
| City                                       | Mystic  | State   | CT | Zip                    | 06355 | Country     | US   |          |  |

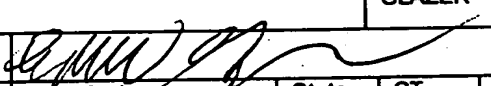
  

|  |   |   |    |                        |       |             |      |  |  |
|--|---|---|----|------------------------|-------|-------------|------|--|--|
| Name of Additional Joint Inventor, if any: |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |    |                        |       |             |      |  |  |
| Given Name (first and middle [if any])     |   |   |    | Family Name or Surname |       |             |      |  |  |
| Takushi                                    |   |   |    | KANEKO                 |       |             |      |  |  |
| Inventor's Signature                       |  |   |    |                        |       |             | Date |  |  |
| Residence: City                            | Guilford  | State   | CT | Country                | US    | Citizenship | US   |  |  |
| Post Office Address                        | 398 Northwood Drive   |   |    |                        |       |             |      |  |  |
| Post Office Address                        |   |   |    |                        |       |             |      |  |  |
| City                                       | Guilford  | State   | CT | Zip                    | 06437 | Country     | US   |  |  |

|  |   |   |    |                        |       |             |      |          |  |
|--|---|---|----|------------------------|-------|-------------|------|----------|--|
| Name of Additional Joint Inventor, if any: |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |    |                        |       |             |      |          |  |
| Given Name (first and middle [if any])     |   |   |    | Family Name or Surname |       |             |      |          |  |
| Bingwei Vera                               |   |   |    | YANG                   |       |             |      |          |  |
| Inventor's Signature                       |  |   |    |                        |       |             | Date | 10/13/99 |  |
| Residence: City                            | Waterford   | State   | CT | Country                | USA   | Citizenship | USA  |          |  |
| Post Office Address                        | 27 Lincoln Road   |   |    |                        |       |             |      |          |  |
| Post Office Address                        |   |   |    |                        |       |             |      |          |  |
| City                                       | Waterford   | State   | CT | Zip                    | 06385 | Country     | USA  |          |  |

|  |   |   |    |                        |       |             |      |         |  |
|--|---|---|----|------------------------|-------|-------------|------|---------|--|
| Name of Additional Joint Inventor, if any: |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |    |                        |       |             |      |         |  |
| Given Name (first and middle [if any])     |   |   |    | Family Name or Surname |       |             |      |         |  |
| Edward Alan                                |   |   |    | GLAZER                 |       |             |      |         |  |
| Inventor's Signature                       |  |   |    |                        |       |             | Date | 11/1/99 |  |
| Residence: City                            | Waterford   | State   | CT | Country                | US    | Citizenship | US   |         |  |
| Post Office Address                        | 310 Boston Post Road, Unit 77   |   |    |                        |       |             |      |         |  |
| Post Office Address                        |   |   |    |                        |       |             |      |         |  |
| City                                       | Waterford   | State   | CT | Zip                    | 06385 | Country     | US   |         |  |

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

|  |                       |   |    |                        |       |             |                      |
|--|-----------------------|---|----|------------------------|-------|-------------|----------------------|
| Name of Additional Joint Inventor, if any: |                       | <input type="checkbox"/> A petition has been filed for this unsigned inventor |    |                        |       |             |                      |
| Given Name (first and middle [if any])     |                       |   |    | Family Name or Surname |       |             |                      |
| Hengmiao                                   |                       |   |    | CHENG                  |       |             |                      |
| Inventor's Signature                       | <i>Hengmiao Cheng</i> |   |    |                        |       | Date        | 10/12/99             |
| Residence: City                            | East Lyme             | State   | CT | Country                | US    | Citizenship | <del>US</del> Canada |
| Post Office Address                        | 39 Mayfield Terrace   |   |    |                        |       |             |                      |
| Post Office Address                        |                       |   |    |                        |       |             |                      |
| City                                       | East Lyme             | State   | CT | Zip                    | 06333 | Country     | CANA                 |

|  |  |   |  |                        |  |             |  |
|--|--|---|--|------------------------|--|-------------|--|
| Name of Additional Joint Inventor, if any: |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |  |                        |  |             |  |
| Given Name (first and middle [if any])     |  |   |  | Family Name or Surname |  |             |  |
|  |  |   |  |                        |  |             |  |
| Inventor's Signature                       |  |   |  |                        |  | Date        |  |
| Residence: City                            |  | State   |  | Country                |  | Citizenship |  |
| Post Office Address                        |  |   |  |                        |  |             |  |
| Post Office Address                        |  |   |  |                        |  |             |  |
| City                                       |  | State   |  | Zip                    |  | Country     |  |

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|--|--|---|--|------------------------|--|-------------|--|
| Name of Additional Joint Inventor, if any: |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |  |                        |  |             |  |
| Given Name (first and middle [if any])     |  |   |  | Family Name or Surname |  |             |  |
|  |  |   |  |                        |  |             |  |
| Inventor's Signature                       |  |   |  |                        |  | Date        |  |
| Residence: City                            |  | State   |  | Country                |  | Citizenship |  |
| Post Office Address                        |  |   |  |                        |  |             |  |
| Post Office Address                        |  |   |  |                        |  |             |  |
| City                                       |  | State   |  | Zip                    |  | Country     |  |

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| Given Name (first and middle [if any])     |  |   |  | Family Name or Surname |  |             |  |
|  |  |   |  |                        |  |             |  |
| Inventor's Signature                       |  |   |  |                        |  | Date        |  |
| Residence: City                            |  | State   |  | Country                |  | Citizenship |  |
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1. Name of conveying party(ies):  
Brian S. BRONK, Michael A. LETAVIC, Takushi  
KANEKO, Bingwei Vera YANG, Edward A. GLAZER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: June 16, 2000 (Bronk), June 21, 2000  
(Letavic), June 19, 2000 (Kaneko), June 19, 2000 (Yang),  
June 19, 2000 (Glazer)

2. Name and address of receiving party(ies)

Name: Pfizer Inc  
Street Address: 235 East 42nd Street, 20th Floor  
City: New York State: New York Zip: 10017-5755

and

Name: Pfizer Products Inc.  
Internal Address: Bldg. 188S, 3<sup>rd</sup> Floor  
Street Address: Eastern Point Road  
City: Groton State: Connecticut Zip: 06340

Additional name(s) & address(es) attached? ☐ Yes ☒ No

JUN 30

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) B. Patent No. (s)  
09/424,104

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul H. Ginsburg

Internal Address: Pfizer Inc

Street Address: 235 East 42nd Street, 20th Floor

City: New York State: New York ZIP: 10017-5755

6. Total number of pages including cover sheet, attachments and document: 6

7. Total fee (37 CFR 3.41).....\$ 40.00

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ASSIGNMENT RECORDAL CVR SHEET (GROTON) TO COMMIS (FILED PREV).DOT. 3/99

EXPRESS

NO. EV271824139US

## ASSIGNMENT


For valuable consideration, the receipt and adequacy of which is hereby acknowledged, we, **Brian S. BRONK and Michael A. LETAVIC, Takushi KANEKO, Bingwei Vera YANG, Edward A. GLAZER** of **66 Partridge Hollow Road, Gales Ferry, Connecticut 06335, United States of America, 334 High Street, Mystic, Connecticut, 06335, United States of America, 398 Northwood Drive, Guilford, Connecticut 06437, United States of America, 27 Lincoln Road, Waterford, Connecticut 06385, United States of America, 310 Boston Post Road, Unit 77, Waterford, Connecticut 06385, United States of America** respectively, hereby sell, assign and transfer unto **PFIZER INC.**, a corporation organized and existing under the laws of the State of Delaware, United States of America, and having its principal place of business at **235 East 42nd Street, New York, New York 10017, United States of America**, our entire right, title and interest, except as limited hereinbelow, in and to provisional patent application of the United States of America, having **PFIZER INC. Docket No. PC 9576A, entitled 4"-SUBSTITUTED-9-DEOXO-9A-AZA-9A-HOMOERYTHROMYCIN A DERIVATIVES**; filed in the United States Patent and Trademark Office on **November 18, 1999** and assigned application number **09/424,104**; and our entire right, title and interest, in the United States of America, in and to all our inventions, whether joint or sole, disclosed in said provisional patent application; and our entire right, title and interest in and to all applications filed in the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted in the United States of America on the foregoing applications;

and we hereby sell, assign and transfer unto **PFIZER PRODUCTS INC.**, a corporation organized and existing under the laws of the State of Connecticut, United States of America, and having its place of business at **Eastern Point Road, Groton, Connecticut 06340, United States of America**, our entire right, title and interest, in all countries of the world except the United States of America, in and to all our inventions, whether joint or sole, disclosed in said provisional patent application; and our entire right, title and interest in and to all patent applications filed outside the United States of America

for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted outside the United States of America on said patent applications filed outside the United States of America; and the right to claim priority from said provisional patent application under the Paris Convention for the Protection of Industrial Property, and under any and all other such treaties and agreements to which the United States of America is a party and which afford similar priority-claiming privileges, in all countries of the world except the United States of America;

and we hereby agree, whenever requested, to communicate to said PFIZER INC. and said PFIZER PRODUCTS INC., and their successors and assigns, any facts known to us respecting said inventions, to testify in any legal proceeding respecting said inventions, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries of the world.

Signed and witnessed this 16<sup>th</sup> Day of June 2000  
at Groton, Connecticut, USA

  
Brian S. BRONK

In the presence of:

  
\_\_\_\_\_


PETER BERTINATTO  
(Typed or Printed Name of Witness)



Signed and witnessed this 21<sup>st</sup> Day of June 2000  
at Groton, Connecticut, USA

  
Michael A. LETAVIC


In the presence of:

  
Amber Paele  
(Typed or Printed Name of Witness)

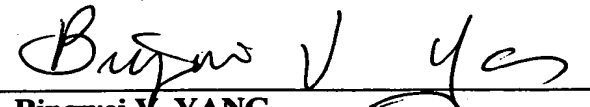
Signed and witnessed this 19<sup>th</sup> Day of June 2000  
at Groton, Connecticut, USA

  
Takushi KANEKO

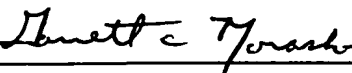
In the presence of:

  
WENHUA JIAO  
(Typed or Printed Name of Witness)

Signed and witnessed this 19<sup>th</sup> Day of June 2000  
at Groton, Connecticut, USA

  
Bingwei V. YANG

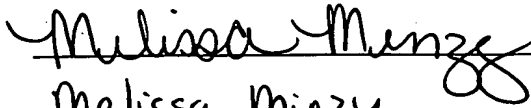
In the presence of:

  
GARRETT MORASKI  
(Typed or Printed Name of Witness)

Signed and witnessed this 19 Day of June 2000  
at Groton, Connecticut, USA

  
Edward A. GLAZER

In the presence of:

  
Melissa Minzy  
(Typed or Printed Name of Witness)